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Sector #17

S&H Form: PTO/SB/17 (2/01)



**RESPONSE TO NOTICE TO FILE
MISSING PARTS**

Attorney Docket No.	1185.1063
Application Number	09/927,509
Filing Date	August 13, 2001
First Named Inventor	Shingo OHKAWA
Group Art Unit	

Title: LIGHT GUIDE PLATE, SURFACE LIGHT SOURCE DEVICE AND LIQUID CRYSTAL DISPLAY

Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed September 21, 2001 for the above-identified application, enclosed are the following:

- ☒ RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- ☐ Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- ☐ Formal Drawings (___ Sheets; Figs ___)
- ☒ English-language translation of application (with Translator's Statement (pages ___))
- ☐ Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

- ☐ The filing fee as set forth in 37 C.F.R. § 1.16(a) \$
- ☐ The additional claim(s) fee (claims over 20) \$
- ☐ The additional independent claim(s) fee (claims over 3) \$
- ☐ Multiple dependent claims \$
- ☐ Petition for Extension of Time (___-month) \$
- ☐ English language translation fee \$
- ☒ Surcharge as set forth in 37 C.F.R. § 1.16(e) \$130.00

SUBTOTAL FEES: \$130.00

11/19/2002 MTEKLENT 00000005 195985 09927509 Reduction by 50% for filing by small entity (37 CFR 1.27)

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130.00 CH

SUBTOTAL FEES: \$130.00

TOTAL FEES DUE: \$130.00

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of __, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

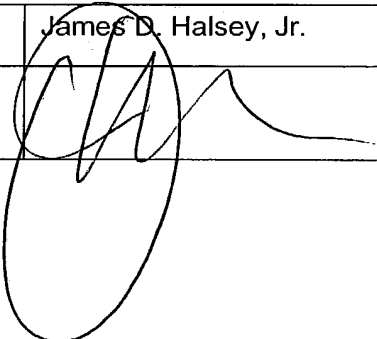
AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	James D. Halsey, Jr.	Reg. No.	22,729
Signature		Date	November 20, 2001



09/27/2001 11:20:01

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,509	08/13/2001	Shingo Ohkawa	1185.1063

21171
STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20001

CONFIRMATION NO. 5900

FORMALITIES LETTER



OC000000006588141

Date Mailed: 09/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

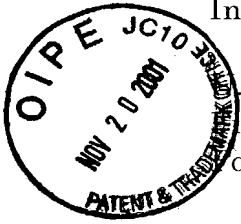
In re Application of

Shingo OHKAWA

S. Application Serial No. 09/927,509

Filing Date: August 13, 2001

For: LIGHT GUIDE PLATE, SURFACE LIGHT SOURCE DEVICE AND
LIQUID CRYSTAL DISPLAY



VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir:

I, Takahiro UOZUMI, residing at c/o AIWA INTERNATIONAL PATENT AGENCY, Toranomom 19 Mori Bldg., 6F., No.2-20, Toranomom 1-chome, Minato-ku, Tokyo, Japan, declare:

- (1) that I know well both Japanese and English languages;
- (2) that I translated the above-identified U.S. Patent Application from Japanese to English;
- (3) that the attached English translation is a true and correct translation of the above-identified U.S. Patent Application to the best of my knowledge and belief; and
- (4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

Handwritten signature of Takahiro Uozumi.

Takahiro UOZUMI

Date: November 16, 2001